

Table 1. Executive Orders and Related Administration Announcements on Retrospective Review of Regulations

Administration	Administration Policy Document	Date
Carter	<a href="#">Executive Order 12044: Improving Government Regulations</a>	March 23, 1978
Reagan	<a href="#">Presidential Task Force on Regulatory Relief</a>	January 22, 1981
Reagan	<a href="#">Executive Order 12291: Federal Regulation</a>	February 17, 1981
Reagan	<a href="#">Executive Order 12498: Regulatory Planning Process</a>	January 4, 1985
Bush I	<a href="#">Memorandum on Reducing the Burden of Government Regulation</a>	January 28, 1992
Clinton	<a href="#">Executive Order 12866: Regulatory Planning and Review</a>	September 30, 1993
Bush II	<a href="#">Draft Report to Congress on the Costs and Benefits of Federal Regulations</a>	May 2, 2001
Obama	<a href="#">Executive Order 13563: Improving Regulation and Regulatory Review</a>	January 18, 2011
Obama	<a href="#">Executive Order 13579: Regulation and Independent Regulatory Agencies</a>	July 11, 2011
Obama	<a href="#">Executive Order 13609: Promoting International Regulatory Cooperation</a>	May 1, 2012
Obama	<a href="#">Executive Order 13610: Identifying and Reducing Regulatory Burdens</a>	May 10, 2012

Notes: All documents accessible via hyperlinks in electronic version of this report.

Table 2. Agency Retrospective Review Plans, Status Updates, and Related Analyses (with hyperlinks in electronic version of this report)

Agency	Plan and Related Plan Implementation Documents	Publication Date
U.S. Department of Agriculture	<a href="#">Open Government at USDA Website</a>	n.d.
	<a href="#">Final Plan for Retrospective Analysis Pursuant to Executive Order 13563</a>	August 18, 2011
	<a href="#">Agency Retrospective Review Plan Report – May 2012 – USDA</a>	May 2012
	<a href="#">Agency Retrospective Review Plan Report – January 2013 – USDA</a>	January 2013
	<a href="#">Agency Retrospective Review Plan Report – July 2013 – USDA</a>	July 2013
	<a href="#">Agency Retrospective Review Plan Report – January 2014 – USDA</a>	January 2014
	<a href="#">Agency Retrospective Review Plan Report – July 2014 – USDA</a>	July 2014
Department of Energy	<a href="#">Retrospective Regulatory Review Website</a>	n.d.
	<a href="#">Reducing Regulatory Burden Request for Information</a>	February 3, 2011
	<a href="#">Preliminary Plan for Retrospective Analysis of Existing Rules</a>	April 29, 2011
	<a href="#">Final Plan for Retrospective Analysis of Existing Rules</a>	August 23, 2011
	<a href="#">Reducing Regulatory Burden Request for Information</a>	December 5, 2011
	<a href="#">DOE Retrospective Review Plan Report January 2012</a>	January 2012
	<a href="#">Reducing Regulatory Burden Request for Information</a>	May 15, 2012
	<a href="#">Reducing Regulatory Burden Request for Information</a>	August 8, 2012
	<a href="#">DOE Retrospective Review Plan and Burden Reduction Report December 18, 2012</a>	December 18, 2012
	<a href="#">DOE Retrospective Review Plan and Burden Reduction Report February 15, 2013</a>	February 15, 2013
	<a href="#">DOE Retrospective Review Plan and Burden Reduction Report July 29, 2013</a>	July 29, 2013
	<a href="#">DOE Retrospective Review Plan and Burden Reduction Report January 2014</a>	January 2014
<a href="#">DOE Retrospective Review Plan and Burden Reduction Report August 2014</a>	August 2014	

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Department of Health and  
Human Services

[Retrospective Review of Existing Rules Website](#)

n.d.

[Plan for Retrospective Review of Existing Rules](#)

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[Appendix A: List of Regulations Initially Identified for Retrospective Review](#)

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[Appendix B: Summary of Public Comments](#)

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[Appendix C: Public Comments on HHS Preliminary Plan for Retrospective Review of Existing Rules](#)

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[HHS Retrospective Regulatory Review Update – January 2012](#)

January 2012

[HHS Retrospective Regulatory Review Update – January 2012 – Chart](#)

January 2012

[HHS Retrospective Regulatory Review Update – May 2012](#)

May 2012

[HHS Retrospective Regulatory Review Update – May 2012 – Chart](#)

May 2012

[HHS Retrospective Regulatory Review Update – September 2012](#)

September 2012

[HHS Retrospective Regulatory Review Update – September 2012 – Chart](#)

September 2012

[HHS Retrospective Regulatory Review Update – January 2013](#)

January 2013

[HHS Retrospective Regulatory Review Update – January 2013 – Chart](#)

January 2013

[HHS Retrospective Regulatory Review Update – July 2013](#)

July 2013

[HHS Retrospective Regulatory Review Update – July 2013 – Chart](#)

July 2013

[HHS Retrospective Regulatory Review Update – January 2014](#)

January 2014

[HHS Retrospective Regulatory Review Update – January 2014 – Chart](#)

January 2014

[HHS Retrospective Regulatory Review Update – July 2014](#)

July 2014

[HHS Retrospective Regulatory Review Update – July 2014 – Chart](#)

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Department of Homeland Security	<a href="#">Latest Progress in Open Government Website</a>	n.d.
	<a href="#">DHS Implementation of Executive Order 13563 Website</a>	n.d.
	<a href="#">Preliminary Plan for Retrospective Review of Existing Regulations</a>	May 18, 2011
	<a href="#">Final Plan for the Retrospective Review of Existing Regulations</a>	August 22, 2011
	<a href="#">Retrospective Review of Existing Regulations – Progress Report</a>	May 14, 2012
	<a href="#">Retrospective Review of Existing Regulations – Progress Report</a>	January 14, 2013
	<a href="#">Retrospective Review of Existing Regulations – Progress Report</a>	July 2013
	<a href="#">Retrospective Review of Existing Regulations – Progress Report</a>	January 2014
	<a href="#">Retrospective Review of Existing Regulations; Request for Public Input</a>	February 26, 2014
	<a href="#">Retrospective Review of Existing Regulations – Progress Report</a>	July 2014
Department of Justice	<a href="#">Open Government at the Department of Justice Website</a>	n.d.
	<a href="#">Preliminary Plan for Retrospective Analysis of Existing Rules</a>	May 18, 2011
	<a href="#">Plan for Retrospective Analysis of Existing Rules</a>	August 22, 2011
	<a href="#">Department of Justice Retrospective Review Status Report</a>	May 2012
	<a href="#">Department of Justice Retrospective Review Status Report</a>	December 18, 2012
	<a href="#">Department of Justice Retrospective Review Status Report</a>	February 2013
	<a href="#">Department of Justice Retrospective Review Report – July 2014</a>	July 2014
Department of Labor	<a href="#">DOL Regulations Website</a>	n.d.
	<a href="#">Reducing Regulatory Burden; Retrospective Review under E.O. 13563 Request for Information</a>	March 21, 2011
	<a href="#">United States Department of Labor Plan for Retrospective Analysis of Existing Rules</a>	August 2011

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	<a href="#"><u>Agency Retrospective Review Plan Reports</u></a>	January 2012
	<a href="#"><u>Agency Retrospective Review Plan Reports</u></a>	May 2012
	<a href="#"><u>Agency Retrospective Review Plan Reports</u></a>	December 2012
	<a href="#"><u>Agency Retrospective Review Plan Reports Fifth Report July 2013</u></a>	July 2013
	<a href="#"><u>Agency Retrospective Review Plan Reports</u></a>	January 2014
	<a href="#"><u>Agency Retrospective Review Plan Reports August 2014</u></a>	August 2014
Department of Transportation	<a href="#"><u>Retrospective Review of Rules Website</u></a>	n.d.
	<a href="#"><u>Plan for Implementation of Executive Order 13563 Retrospective Review and Analysis of Existing Rules</u></a>	August 2011
	<a href="#"><u>RRR Review Plan</u></a>	January 2012
	<a href="#"><u>DOT RRR Report</u></a>	May 2012
	<a href="#"><u>E.O. 13563 Retrospective Review Report for DOT September 2012</u></a>	September 2012
	<a href="#"><u>E.O. 13563 Retrospective Review Report for DOT January 2013</u></a>	January 2013
	<a href="#"><u>E.O. 13563 Retrospective Review Report for DOT July 2013</u></a>	July 2013
	<a href="#"><u>DOT RRR Report January 2014</u></a>	January 2014
	<a href="#"><u>DOT July 2014 RRR Report</u></a>	July 2014
Environmental Protection Agency	<a href="#"><u>History of Our Retrospective Review Plan Website</u></a>	n.d.
	<a href="#"><u>Dockets for Public Input on Retrospective Review Website</u></a>	n.d.
	<a href="#"><u>Meetings on the Periodic Retrospective Reviews Plan</u></a>	n.d.
	<a href="#"><u>Improving Our Regulations: A Preliminary Plan for Periodic Retrospective Reviews of Existing Regulations</u></a>	May 24, 2011
	<a href="#"><u>Improving Our Regulations: Final Plan for Periodic Retrospective Reviews of Existing</u></a>	August 2011

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	<a href="#">Regulations</a>	
	<a href="#">Progress Report, January 2012</a>	January 2012
	<a href="#">Progress Report, May 2012</a>	May 2012
	<a href="#">EO 13563 Progress Report, September 2012</a>	September 2012
	<a href="#">EO 13563 Progress Report, January 2013</a>	January 2013
	<a href="#">EO 13563 Progress Report, July 2013</a>	July 2013
	<a href="#">EO 13563 Progress Report, January 2014</a>	January 2014
	<a href="#">EO 13563 Progress Report, July 2014</a>	July 2014
Commodity Futures Trading Commission	<a href="#">Reducing Regulatory Burden; Retrospective Review Under E.O. 13563</a>	June 30, 2011
	<a href="#">Status Report on “Phase One” of Commodity Futures Trading Commission’s Plan for Retrospective Review of Agency Regulations Under Executive Order 13563</a>	November 7, 2011
	<a href="#">Second Status Report on “Phase One” of Commodity Futures Trading Commission’s Plan for Retrospective Review of Agency Regulations Under Executive Order 13563</a>	June 7, 2012
	<a href="#">Fourth Status Report on “Phase One” of Commodity Futures Trading Commission’s Plan for Retrospective Review of Agency Regulations Under Executive Order 13563</a>	January 11, 2013
	<a href="#">Fifth Status Report on “Phase One” of Commodity Futures Trading Commission’s Plan for Retrospective Review of Agency Regulations Under Executive Order 13563</a>	July 8, 2013
Consumer Financial Protection Bureau	<a href="#">Streamlining Inherited Regulations: Notice of streamlining project; request for information</a>	December 5, 2011
Federal Reserve System	<a href="#">Letter from Chairman Bernanke to Administrator Sunstein</a>	November 8, 2011
Nuclear Regulatory Commission	<a href="#">The NRC Approach to Open Government Website</a>	n.d.
	<a href="#">Initial Plan for a Retrospective Analysis of Existing Rules</a>	October 2011
	<a href="#">Nuclear Regulatory Commission Information Collection Burden Reduction Activities</a>	n.d.

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	<a href="#">Final Plan for Retrospective Analysis of Existing Rules</a>	January 6, 2014
Securities and Exchange Commission	<a href="#">Retrospective Review of Existing Regulations; request for information</a>	September 12, 2011
	<a href="#">Comments on Retrospective Review of Existing Regulations (Request for Information) Website</a>	n.d.

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Notes: All links active as on September 18, 2014. Some Department of Justice progress reports could be located on through the Internet Archive Wayback Machine (<http://archive.org/web/>). The third progress report of the Commodities Futures Trading Commission could not be located on the agency's website or via google search.

Table 3. Comments Received on Preliminary Plans for Retrospective Review

Agency	Number of Comments	Use of Social Media
U.S. Department of Agriculture	> 2100	Solicited suggestions via department's Open Government website. Agencies within the department conducted webinars (e.g., Rural Development) and launched agency-specific websites.
Department of Energy	29	Created an idea suggestion link and dedicated email address associated with department's general counsel website.
Department of Health and Human Services	31	As a part of its transparency initiative, the Food and Drug Administration established a website on Executive Order 13563 implementation.
Department of Homeland Security	35	Used IdeaScale, which produced another 98 suggestions for review.
Department of Justice	10	Posted information on and provided means to submit ideas through department's Open Government website.
Department of Labor		Used an interactive website to solicit suggestions, and received 113 ideas for review. Launched a second website (IdeaScale) in June 2011.
Department of Transportation	102	Used IdeaScale, which produced another 53 suggestions for review.
Environmental Protection Agency	> 800	Agency launched an "Improving Our Regulations" website that provided direct links to 15 dockets established at <a href="http://www.regulations.gov">www.regulations.gov</a> .

Notes: All data and descriptions of agencies' use of social media drawn from their respective August 2011 final regulatory review plans.



Table 4. Illustrations of Initial Impacts of Retrospective Review under Executive Order 13563

Agency	RIN	Estimated Impacts	Description
U.S. Department of Agriculture	0583-AD32	> \$350 million in net benefits	Modernization of Poultry Slaughter inspection
Department of Energy	1904-AA34	> \$100 million in cost savings	National Environmental Policy Act Implementing Procedures
Department of Health and Human Services	0938-AQ89; 0938-AQ96	> \$5 billion cost savings	Reform of Hospital and Critical Access Hospital Conditions of Participation; Regulatory Provisions To Promote Program Efficiency, Transparency, and Burden Reduction
Department of Labor	1218-AC20	> \$2.5 billion in net benefits	Hazard Communication
Department of Transportation	2130-AC27	>\$300 million in cost savings	Positive Train Control Amendments
Environmental Protection Agency	2060-AQ97	> \$400 million in cost savings	Widespread Use for Onboard Refueling Vapor Recovery and Stage II Waiver

Notes: Examples for the Department of Health and Human Services, Department of Labor, Department of Transportation, and Environmental Protection Agency identified in Council of Economic Advisers (2012). Other examples drawn from U.S. Department of Agriculture (2012) and Department of Energy (2011)

Table 5. Status of Rules Identified in 2012 Agency Progress Reports

Agency	Report Date	RIN	Title	Proposal Date	Final Date	Major Rule under CRA	References Retrospective Review
U.S. Department of Agriculture	May 2012	0583-AD39	Electronic Import Inspection and Certification of Imported Products and Foreign Establishments	November 27, 2012	September 19, 2014	No	No
U.S. Department of Agriculture	May 2012	0583-AD41	Electronic Export Application and Certification Fee	January 23, 2012	NA	No	No
U.S. Department of Agriculture	May 2012	0583-AC59	Prior Labeling Approval System: Generic Label Approval	December 5, 2011	November 7, 2013	No	Yes
U.S. Department of Agriculture	May 2012	0583-AD32	Modernization of Poultry Slaughter inspection	January 27, 2012	August 21, 2014	No	Yes
U.S. Department of Agriculture	May 2012	0596-AD01	National Environmental Policy Act Efficiencies	June 23, 2012	September 12, 2013	No	No
Department of Energy	January 2012	1904-AB57	Energy Efficiency Standards for Battery Chargers and External Power Supplies	March 27, 2012	February 10, 2014	Yes	No
Department of Energy	January 2012	1904-AB90	Energy Conservation Standards for Residential Clothes Washers	NA	May 31, 2012	Yes	No
Department of Energy	January 2012	1904-AA34	National Environmental Policy Act Implementing Procedures	January 3, 2011	October 13, 2011	No	No
Department of Energy	January 2012	1904-AC04	Energy Conservation Standards for Distribution Transformers	February 10, 2012	April 18, 2013	Yes	No

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Department of Energy	January 2012	1904-AC58	Compliance Date Regarding the Test Procedures for Walk-In Coolers and Freezers and the Certification for Metal Halide Lamp Ballasts and Fixtures	August 9, 2011	October 21, 2011	No	No
Department of Health and Human Services	January 2012	0938-AQ89	Reform of Hospital and Critical Access Hospital Conditions of Participation	October 24, 2011	May 16, 2012	Yes	Yes
Department of Health and Human Services	January 2012	0938-AQ96	Regulatory Provisions to Promote Program Efficiency, Transparency, and Burden Reduction	October 24, 2011	May 16, 2012	Yes	Yes
Department of Health and Human Services	January 2012	0938-AC86	Changes to the Medicare Advantage and the Medicare Prescription Drug Benefit Programs for Contract Year 2013 and Other Changes	October 11, 2011	April 12, 2012	Yes	No
Department of Health and Human Services	January 2012	0938-AP61	State Plan Home and Community-Based Services, 5-Year Period for Waivers, Provider Payment Reassignment, and Home and Community-Based Setting Requirements for Community First Choice and Home and Community-Based Services Waivers	April 15, 2011	January 16, 2014	Yes	No
Department of Health and Human Services	January 2012	0938-AQ32	Disallowance of Claims for FFP and Technical Corrections	August 3, 2011	May 29, 2012	No	Yes

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Department of Homeland Security	May 2012	1615-AB95	Immigration Benefits Business Transformation, Increment II: Nonimmigrant Classes	No proposal as of September 22, 2014	NA	NA	NA
Department of Homeland Security	May 2012	1615-AB71	Registration Requirement for Petitioners Seeking to File H-AB Petitions on Behalf of Aliens Subject to the Numerical Limitations	March 3, 2011	NA	NA	NA
Department of Homeland Security	May 2012	1615-AB99	Provisional Unlawful Presence Waivers of Inadmissibility for Certain Immediate Relatives	April 2, 2012	January 3, 2013	Yes	Yes
Department of Homeland Security	May 2012	1615-AB92	Employment Authorization for Certain H-4 Dependent Spouses	May 12, 2014	NA	No	No
Department of Homeland Security	May 2012	1625-AA16	Implementation of the Amendments to the International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, 1978, and Changes to National Endorsements	August 2, 2011	December 24, 2013	No	No
Department of Justice	May 2012	1140-AA42	Importation of Arms, Ammunition and Implements of War and Machine Guns, Destructive Devices, and Certain Other Firearms; Extending the Term of Import Permits	February 6, 2012	February 7, 2014	No	Yes
Department of Justice	May 2012	1125-AA71	Retrospective Regulatory Review Under EO 13563 of 8 CFR Parts 1003, 1103, 1211, 1212, 1215, 1216, 1235	September 28, 2012	NA	NA	Yes

Department of Labor	May 2012	1218-AC20	Hazard Communication	December 29, 2009	March 26, 2012	Yes	Yes
Department of Labor	May 2012	1218-AC34	Bloodborne Pathogens	May 14, 2010	NA	NA	Yes
Department of Labor	May 2012	1218-AC64	Updating OSHA Standards Based on National Consensus Standards -- Acetylene	December 5, 2011	March 8, 2012	No	No
Department of Labor	May 2012	1218-AC65	Updating OSHA Standards Based on National Consensus Standards -- Personal Protection Equipment (Head Protection)	NA	June 22, 2012	No	No
Department of Labor	May 2012	1218-AC67	Standard Improvement Project IV	December 6, 2012	NA	NA	Yes
Department of Transportation	September 2012	2133-AB77	MARAD NEPA Procedures	No proposal as of September 22, 2014	NA	NA	NA
Department of Transportation	September 2012	2133-AB78	Transportation Priority Allocation System, Part 341	No proposal as of September 22, 2014	NA	NA	NA
Department of Transportation	September 2012	2133-AB79	Administrative Claims, Part 327	February 2, 2012	October 30, 2012	No	Yes
Department of Transportation	September 2012	2133-AB80	Operating Differential Subsidy and Construction Differential Subsidy Programs	NA	February 2, 2012	No	Yes
Department of Transportation	September 2012	2133-AB81	Foreign Transfer Regulations	NA	June 14, 2013	No	Yes

Environmental Protection Agency	January 2012	2060-AQ86	Control of Air Pollution from Motor Vehicles: Tier 3 Motor Vehicle Emission and Fuel Standards	May 21, 2013	April 28, 2014	Yes	No
Environmental Protection Agency	January 2012	2060-AP66	Equipment and Leak Detection and Repair: Reducing Burden	NA	NA	NA	NA
Environmental Protection Agency	January 2012	2060-AR00	Uniform Standards for Equipment Leaks and Ancillary Systems, Closed Vent Systems and Control Devices, Storage Vessels and Transfer Operations, and Wastewater Operations	March 26, 2012	NA	No	Yes
Environmental Protection Agency	January 2012	2070-AJ75	Electronic Reporting under the Toxic Substances Control Act (TSCA)	April 17, 2012	December 4, 2013	No	Yes
Environmental Protection Agency	January 2012	2040-AF25	National Pollutant Discharge Elimination System (NPDES) Application and Program Updates Rule	NA	NA	NA	NA

Notes: The Department of Justice listed only two rules in its May 2012 progress report. The Department of Labor "Bloodborne Pathogens" proposed rule references Section 610 of the Regulatory Flexibility Act. "NA" typically means that a final rule has not been promulgated. In the case of "NA" for a proposed rule that has gone final, the promulgated regulation was a direct final rule.

Table 6. Major Rules Promulgated by Executive Branch Agencies Listed in the 2014 Office of Management and Budget Draft Report to Congress (excluding transfer rules/budgetary) with Assessment of Retrospective Review

Agency	RIN	Title	Benefits (billions 2001\$)	Costs (billions 2001\$)	Result of Retrospective Review	Plan Retrospective Review of Rule
Department of Health and Human Services	0910-AG84	Food Labeling; Gluten-Free Labeling of Foods	\$0 - \$0.2	< \$0.1	No	No
Department of Energy	1904-AC04	Energy Efficiency Standards for Distribution Transformers	\$0.7 - \$1.0	\$0.2 - \$0.3	No	No
Department of Energy	1904-AC07	Energy Efficiency Standards for Microwave Ovens	\$0.2 - \$0.3	< \$0.1	No	No
Environmental Protection Agency	2060-AO47	Review of the NAAQS for PM	\$3.0 - \$7.5	\$0 - \$0.3	No	No
Environmental Protection Agency	2060-AQ58	Reconsideration of Final NESHAP for Reciprocating Internal Combustion Engines	\$0.6 - \$1.7	\$0.4	No	No
Environmental Protection Agency	2060-AR13	NESHAP for Major Sources: Industrial, Commercial, and Institutional Boilers and Process Heaters; Proposed Reconsideration	\$21.1 - \$56.6	\$1.2 - \$1.4	No	No
Department of Transportation	2120-AJ67	Pilot Certification and Qualification Requirements	< \$0.1	\$0.1 - \$0.2	No	No
U.S. Department of Agriculture	0560-AH86	Feedstock Flexibility Program	not estimated	< \$0.1	No	No
U.S. Department of Agriculture	0581-AD29	Mandatory Country of Origin Labeling of Beef, Pork, Lamb,	not estimated	\$0 - \$0.2	No	No

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		Chicken, Goat Meat, Perishable Agricultural Commodities, Peanuts, Pecans, Macadamia Nuts, Ginseng, etc.				
U.S. Department of Agriculture	0584-AE09	Natioanl School Lunch and School Breakfast Programs: Nutrition Standards for All Foods Sold in School, as Required by the Healthy, Hunger-Free Kids Act of 2010	not estimated	< \$0.1	No	No
Department of Health and Human Services	0910-AG31	Unique Device Identification	not estimated	\$0 - \$0.1	No	No
Department of Health and Human Services	0938-AR04	Medicaid, Exchanges, and Children's Health Insurance Programs: Eligibility, Appeals, and Other Provisions under the Affordable Care Act	not estimated	\$1.0	No	No
Department of Health and Human Services	0938-AR33	Transparency Reports and Reporting of Physician Ownership of Investment Interests	not estimated	\$0.2	No	No
Department of Health and Human Services	0938-AR40	Patient Protection and Affordable Care Act; Health Insurance Market: Rate Review	not estimated	< \$0.1	No	No
Department of Health and Human Services	0945-AA03	Modifications to the HIPAA Privacy, Security, Enforcement and Breach Notification Rules	not estimated	< \$0.1	Yes	No
Department of the Interior	1018-AY87	Migratory Bird Hunting: 2013-2014 Migratory Game Bird Hunting Regulations (Early Season)	\$0.3	not estimated	No	No

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Department of the Interior	1018-AY87	Migratory Bird Hunting: 2013-2014 Migratory Game Bird Hunting Regulations (Late Season)	\$0.2 - \$0.3	not estimated	No	No
Department of Labor	1250-AA00	Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors Regarding Protected Veterans	not estimated	\$0.1 - \$0.3	No	No
Department of Labor	1250-AA02	Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors Regarding Individuals with Disabilities	not estimated	\$0.2 - \$0.4	No	No
Department of Homeland Security	1615-AB99	Provisional Unlawful Presence Waivers of Inadmissibility for Certain Immediate Relatives	not estimated	\$0 - \$0.1	Yes	No
Department of Health and Human Services	0938-AQ70	Pre-Existing Condition Insurance Plan; High Risk Pool	not estimated	not estimated	No	No
Department of Health and Human Services	0938-AR03	Patient Protection and Affordable Care Act; Standards Related to Essential Health Benefits, Actuarial Value, and Accreditation	not estimated	not estimated	No	No
Department of Health and Human Services	0938-AR68	Exchange Functions: Eligibility for Exemptions; Miscellaneous	not estimated	not estimated	No	No
Office of Personnel Management	3206-AM47	Multi-State Exchanges; Implementations for Affordable Care Act Provisions	not estimated	not estimated	No	No

Notes: Data presented in the first five columns are from Tables 1-6(a), 1-6(b), and 1-6(c) of Office of Management and Budget (2014). Data in the last columns reflect a review and word searches (“retrospective,” “ex post,” and “13563”) of the final rules published in the Federal Register.

Table 7. Major Rules Promulgated by Independent Agencies Listed in the 2014 Office of Management and Budget Draft Report to Congress (excluding transfer rules) with Assessment of Retrospective Review

Agency	RIN	Title	Monetized Benefits	Monetized Costs	Result of Retrospective Review	Plan Retrospective Review of Rule
Consumer Financial Protection Bureau	3170-AA17	Ability-to-Repay and Qualified Mortgage Standards Under the Truth in Lending Act (Regulation Z)	No	No	No	No
Consumer Financial Protection Bureau	3170-AA13	Loan Originator Compensation Requirements Under the Truth in Lending Act (Regulation Z)	No	No	No	No
Consumer Financial Protection Bureau	3170-AA14	Mortgage Servicing Rules Under the Real Estate Settlement Procedures Act (Regulation X)	No	No	No	No
Consumer Financial Protection Bureau	3170-AA14	Mortgage Servicing Rules Under the Truth in Lending Act (Regulation Z)	No	Yes	No	No
Commodity Futures Trading Commission	3038-AD47	Clearing Exemption for Swaps Between Certain Affiliated Entities	No	No	No	No
Commodity Futures Trading Commission	3038-AD18	Core Principles and Other Requirements for Swap Execution Facilities	No	No	No	No
Federal Communications Commission	FCC 12-153	Special Access for Price Cap Local Exchange Carriers; AT&T Corporation Petition for Rulemaking to Reform Regulation of Incumbent Local Exchange Carrier Rates for Interstate Special Access Services	No	No	No	No

Federal Deposit Insurance Corporation	3064-AD95	Regulatory Capital Rules: Regulatory Capital, Implementation of Basel III, Capital Adequacy, Transition Provisions, Prompt Corrective Action, Standardized Approach to Risk-weighted Assets, Market Discipline and Disclosure Requirements, Advanced Approaches Risk-Based Capital Rule, and Market Risk Capital Rule	No	No	No	No
Federal Reserve Board of Governors	7100-AD95	Supervision and Regulation Assessments for Bank Holding Companies and Savings and Loan Holding Companies with Total Consolidated Assets of \$50 Billion or More and Nonbank Financial Companies Supervised by the Federal Reserve	No	No	No	No
Nuclear Regulatory Commission	NRC-2013-0038	Electric Power Research Institute; Seismic Evaluation Guidance	No	No	No	No
Nuclear Regulatory Commission	3150-AJ25	Inflation Adjustments to the Price-Anderson Act Financial Protection Regulations	No	No	No	No
Nuclear Regulatory Commission	3150-AI12	Physical Protection of Byproduct Material	No	Yes	No	No
Nuclear Regulatory Commission	3150-AJ19	Revision of Fee Schedules; Fee Recovery for Fiscal Year 2013	No	No	No	No
Securities and Exchange Commission	3235-AK56	Broker-Dealer Reports	No	No	No	No
Securities and Exchange Commission	3235-AK97	Disqualification of Felons and Other "Bad Actors" from Rule 506 Offerings	No	No	No	No

Securities and Exchange Commission	3235-AL34	Eliminating the Prohibition Against General Solicitation and General Advertising in Rule 506 and Rule 144A Offerings	No	No	No	No
Securities and Exchange Commission	3235-AJ85	Financial Responsibility Rules for Broker-Dealers	No	No	No	No
Securities and Exchange Commission	3235-AK86	Registration of Municipal Advisors	No	No	No	No

Notes: Data presented in the first five columns are from Table 1-10 of Office of Management and Budget (2014). Data in the last columns reflect a review and word searches (“retrospective,” “ex post,” and “13579”) of the final rules published in the Federal Register.

Table 8. Major Rules Promulgated by Executive Branch Agencies Listed in the 2013 Office of Management and Budget Draft Report to Congress (excluding transfer/budgetary rules) with Assessment of Retrospective Review

Agency	RIN	Title	Benefits (billions 2001\$)	Costs (billions 2001\$)	Result of Retrospective Review	Plan Rule Implementation for Retrospective Review
Department of Health and Human Services	0938-AQ11	Administrative Simplification: Adoption of Standards for Electronic Funds Transfer	\$0.2 - \$0.3	< \$0.1	No	No
Department of Health and Human Services	0938-AQ13	Administrative Simplification: Standard Unique Identifier for Health Plans and ICD-10 Compliance Date Delay	\$0.4 - \$1.0	\$0.2 - \$0.8	No	No
Department of Health and Human Services	0938-AR01	Administrative Simplification: Adoption of Operating Rules for Electronic Funds Transfer and Remittance Advice	\$0.2 - \$0.3	\$0.1 - \$0.3	No	No
Department of Labor	1218-AC20	Hazard Communication	\$0.5 - \$0.6	\$0.1 - \$0.2	Yes	No
Department of Homeland Security	1625-AA32	Standards for Living Organisms in Ships' Ballast Water Discharged in U.S. Waters	< \$0.1 - \$0.4	\$0.1 - \$0.2	No	No
Department of Energy	1904-AB50	Energy Efficiency Standards for Fluorescent Lamp Ballasts	\$0.8 - \$1.6	\$0.2 - \$0.5	No	No
Department of Energy	1904-AB90	Energy Conservation Standards for Residential Clothes Washers	\$1.0 - \$1.8	\$0.2 - \$0.3	No	No
Environmental Protection Agency	2060-AN72	Petroleum Refineries -- New Source Performance Standards	\$0.4 - \$0.7	\$0.1	No	No

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-- Subparts J and Ja						
Environmental Protection Agency	2060-AP52	NESHAP from Coal- and Oil-Fired Electric Utility Steam Generating Units and Standards of Performance for Electric Utility Steam Generating Units	\$28.1 - \$76.9	\$8.2	No	No
Environmental Protection Agency	2060-AP76	Oil and Natural Gas Sector -- New Source Performance Standards and NESHAPs	\$0.2	\$0.1	No	No
Environmental Protection Agency / Department of Transportation	2060-AQ54; 2127-AK79	Joint Rulemaking to Establish 2017 and Later Model Year Light Duty Vehicle GHG Emissions and CAFE Standards	\$21.2 - \$28.8	\$5.3 - \$8.8	No	No
Department of Transportation	2126-AA97	National Registry of Certified Medical Examiners	\$0.1 - \$0.2	< \$0.1	No	No
Department of Transportation	2126-AB26	Hours of Service	\$0.2 - \$1.0	\$0.4	No	No
Department of Transportation	2130-AC27	Positive Train Control Systems Amendments	\$0 - \$0.1	< \$0.1	No	No
U.S. Department of Agriculture	0584-AD59	Nutrition Standards in the National School Lunch and School Breakfast Programs	not estimated	\$0.5	No	No
Department of Health and Human Services	0938-AQ22	Medicare Shared Savings Program: Accountable Care Organizations	not estimated	\$0.1	No	No
Department of Health and Human Services	0938-AQ67	Establishment of Exchanges and Qualified Health Plans Part I	not estimated	\$0.5 - \$0.6	No	No

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Department of Health and Human Services	0938-AQ89	Medicare and Medicaid Programs: Reform of Hospital and Critical Access Hospital Conditions of Participation	not estimated	-0.70	Yes	No
Department of Health and Human Services	0938-AQ96	Regulatory Provisions to Promote Program Efficiency, Transparency, and Burden Reduction	not estimated	-0.10	Yes	No
Department of the Interior	1014-AA02	Increased Safety Measures for Oil and Gas Operations on the OCS	not estimated	\$0.1	No	No
Department of the Interior	1018-AX97	Migratory Bird Hunting; 2012-2013 Migratory Game Bird Hunting Regulations -- Early Season	\$0.2	not estimated	No	No
Department of the Interior	1018-AX97	Migratory Bird Hunting; 2012-2013 Migratory Game Bird Hunting Regulations -- Late Season	\$0.2	not estimated	No	No
Department of Justice	1105-AB34	National Standards to Prevent, Detect, and Respond to Prison Rape	not estimated	\$0.4	No	No
Department of Labor	1210-AB08	Improved Fee Disclosure for Pension plans	not estimated	< \$0.1 - \$0.1	No	No
Environmental Protection Agency	2060-AR55	Regulation of Fuels and Fuel Additives; 2013 Biomass-Based Diesel Renewable Fuel Volume	not estimated	\$0.2 - \$0.3	No	No

Notes: Data presented in the first five columns are from Tables 1-5(a) and 1-5(b) of Office of Management and Budget (2013). Data in the last columns reflect a review and word searches (“retrospective,” “ex post,” and “13563”) of the final rules published in the Federal Register.